

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Our Docket No.: **169.12-0613**

Date: **October 16, 2003**

First Named Inventor: **Robert W. Lamberton et al.**

Title: **NANOCLUSTERED MAGNETIC MATERIALS FOR HIGH
MOMENT WRITE POLE APPLICATIONS**

Express Mail No.: **EV 302260576 US**

APPLICATION ELEMENTS

ADDRESS TO:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status

3. ☒ Specification Total Pages **[21]**

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. ☒ Drawings (35 U.S.C. 113) Total Sheets **[4]**

5. ☒ Oath or Declaration Total Pages **[3]**

- a. ☒ Newly Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

I. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all necessary*)
- a. ☐ Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies; or
 - ii. ☐ Paper
- c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 C.F.R. 3.73(b) Submission
- ☒ Power of Attorney
11. ☐ English Translation Document (*if applicable*)
12. ☒ Information Disclosure Statement with copies of Citations as necessary
13. ☐ Preliminary Amendment Total Pages ☐
14. ☒ Return Receipt Postcard (*Should be specifically itemized*)
15. ☐ Certified Copy of Priority document(s) (*If foreign priority is claimed*)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent
17. ☐ Other

16834 U.S. PTO
10/686841
101603

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME
AND REG. NO.

**David R. Fairbairn,
Reg. No., 26,047**

SIGNATURE:



ADDRESS


**Kinney & Lange, P.A.
THE KINNEY & LANGE BUILDING
312 South Third Street
Minneapolis, MN 55415-1002**

TELEPHONE

(612) 339-1863

FAX: (612) 339-6580

FEE TRANSMITTAL		Complete if Known																																																																																																																																																	
		Application No.																																																																																																																																																	
		Filing Date	Herewith																																																																																																																																																
		First Named Inventor	Robert W. Lamberton et al.																																																																																																																																																
		Group Art Unit																																																																																																																																																	
		Examiner Name																																																																																																																																																	
Total Amount of Payment \$864.00		Atty. Docket Number	169.12-0613																																																																																																																																																
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																																																																	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account <u>No.11-0982</u> . Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - Late filing fee or oath</td><td>*</td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>*</td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td>*</td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For Filing a Request for Reexamination</td><td>*</td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td>*</td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for reply within second month</td><td>*</td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for reply within third month</td><td>*</td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for reply within fourth month</td><td>*</td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td>Extension for reply within fifth month</td><td>*</td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Filing a brief in support of an appeal</td><td>*</td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Request for oral hearing</td><td>*</td></tr> <tr><td>1814</td><td>110</td><td>2814</td><td>55</td><td>Terminal Disclaimer Fee</td><td>*</td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td>*</td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td><td>*</td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Utility/Reissue issue fee</td><td>*</td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Design issue fee</td><td>*</td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td>*</td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Petitions related to provisional applications</td><td>*</td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Statement</td><td>*</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Request for Continued Examination (RCE)</td><td>*</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>*</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (3) \$40.00</td><td></td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid	1051	130	2051	65	Surcharge - Late filing fee or oath	*	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	*	1053	130	1053	130	Non-English specification	*	1812	2,520	1812	2,520	For Filing a Request for Reexamination	*	1251	110	2251	55	Extension for reply within first month	*	1252	420	2252	210	Extension for reply within second month	*	1253	950	2253	475	Extension for reply within third month	*	1254	1,480	2254	740	Extension for reply within fourth month	*	1255	2,010	2255	1,005	Extension for reply within fifth month	*	1402	330	2402	165	Filing a brief in support of an appeal	*	1403	290	2403	145	Request for oral hearing	*	1814	110	2814	55	Terminal Disclaimer Fee	*	1452	110	2452	55	Petition to revive - unavoidable	*	1453	1,330	2453	665	Petition to revive - unintentional	*	1501	1,330	2501	665	Utility/Reissue issue fee	*	1502	480	2502	240	Design issue fee	*	1460	130	1460	130	Petitions to the Commissioner	*	1807	50	1807	50	Petitions related to provisional applications	*	1806	180	1806	180	Submission of Information Disclosure Statement	*	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40	1801	770	2801	385	Request for Continued Examination (RCE)	*	Other fee (specify) _____					*	Subtotal (3) \$40.00					
Large Entity Fee Code	Large Entity Fee (\$)			Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid																																																																																																																																												
1051	130	2051	65	Surcharge - Late filing fee or oath	*																																																																																																																																														
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	*																																																																																																																																														
1053	130	1053	130	Non-English specification	*																																																																																																																																														
1812	2,520	1812	2,520	For Filing a Request for Reexamination	*																																																																																																																																														
1251	110	2251	55	Extension for reply within first month	*																																																																																																																																														
1252	420	2252	210	Extension for reply within second month	*																																																																																																																																														
1253	950	2253	475	Extension for reply within third month	*																																																																																																																																														
1254	1,480	2254	740	Extension for reply within fourth month	*																																																																																																																																														
1255	2,010	2255	1,005	Extension for reply within fifth month	*																																																																																																																																														
1402	330	2402	165	Filing a brief in support of an appeal	*																																																																																																																																														
1403	290	2403	145	Request for oral hearing	*																																																																																																																																														
1814	110	2814	55	Terminal Disclaimer Fee	*																																																																																																																																														
1452	110	2452	55	Petition to revive - unavoidable	*																																																																																																																																														
1453	1,330	2453	665	Petition to revive - unintentional	*																																																																																																																																														
1501	1,330	2501	665	Utility/Reissue issue fee	*																																																																																																																																														
1502	480	2502	240	Design issue fee	*																																																																																																																																														
1460	130	1460	130	Petitions to the Commissioner	*																																																																																																																																														
1807	50	1807	50	Petitions related to provisional applications	*																																																																																																																																														
1806	180	1806	180	Submission of Information Disclosure Statement	*																																																																																																																																														
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																														
1801	770	2801	385	Request for Continued Examination (RCE)	*																																																																																																																																														
Other fee (specify) _____					*																																																																																																																																														
Subtotal (3) \$40.00																																																																																																																																																			
2. <input checked="" type="checkbox"/> Check Enclosed																																																																																																																																																			
FEE CALCULATION																																																																																																																																																			
1. BASIC FILING FEE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td><input checked="" type="checkbox"/> Utility Filing Fee</td></tr> <tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td><input type="checkbox"/> Design Filing Fee</td></tr> <tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td><input type="checkbox"/> Reissue Filing Fee</td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td><input type="checkbox"/> Prov. Filing Fee</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (1) \$770.00</td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	1001	770	2001	385	<input checked="" type="checkbox"/> Utility Filing Fee	1002	340	2002	170	<input type="checkbox"/> Design Filing Fee	1004	770	2004	385	<input type="checkbox"/> Reissue Filing Fee	1005	160	2005	80	<input type="checkbox"/> Prov. Filing Fee	Subtotal (1) \$770.00																																																																																																																								
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																															
1001	770	2001	385	<input checked="" type="checkbox"/> Utility Filing Fee																																																																																																																																															
1002	340	2002	170	<input type="checkbox"/> Design Filing Fee																																																																																																																																															
1004	770	2004	385	<input type="checkbox"/> Reissue Filing Fee																																																																																																																																															
1005	160	2005	80	<input type="checkbox"/> Prov. Filing Fee																																																																																																																																															
Subtotal (1) \$770.00																																																																																																																																																			
2. EXTRA CLAIM FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Number Claims</th> <th>Prior**</th> <th>Extra</th> <th>Fee from Below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>23</td> <td>- 20</td> <td>= 3</td> <td>x 18</td> <td>= 54</td> </tr> <tr> <td>Indep.</td> <td>2</td> <td>- 3</td> <td>= 0</td> <td>x 0</td> <td>= 0</td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td></td> <td>*</td> <td>=</td> <td>*</td> </tr> </tbody> </table> <p>**Insert 3 and 20, or number previously paid if greater; Reissue see below</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>1201</td><td>86</td><td>2201</td><td>43</td><td>Independent claims in excess of 3</td></tr> <tr><td>1203</td><td>290</td><td>2203</td><td>145</td><td>Multiple Dependent Claim</td></tr> <tr><td>1204</td><td>86</td><td>2204</td><td>43</td><td>Reissue Independent Claims Over Original Patent</td></tr> <tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (2) \$54.00</td></tr> </tbody> </table>			Number Claims	Prior**	Extra	Fee from Below	Fee Paid	Total	23	- 20	= 3	x 18	= 54	Indep.	2	- 3	= 0	x 0	= 0	Multiple Dependent Claims			*	=	*	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Description	1202	18	2202	9	Claims in excess of 20	1201	86	2201	43	Independent claims in excess of 3	1203	290	2203	145	Multiple Dependent Claim	1204	86	2204	43	Reissue Independent Claims Over Original Patent	1205	18	2205	9	Reissue claims in excess of 20 and over original patent	Subtotal (2) \$54.00																																																																																											
	Number Claims	Prior**	Extra	Fee from Below	Fee Paid																																																																																																																																														
Total	23	- 20	= 3	x 18	= 54																																																																																																																																														
Indep.	2	- 3	= 0	x 0	= 0																																																																																																																																														
Multiple Dependent Claims			*	=	*																																																																																																																																														
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Description																																																																																																																																															
1202	18	2202	9	Claims in excess of 20																																																																																																																																															
1201	86	2201	43	Independent claims in excess of 3																																																																																																																																															
1203	290	2203	145	Multiple Dependent Claim																																																																																																																																															
1204	86	2204	43	Reissue Independent Claims Over Original Patent																																																																																																																																															
1205	18	2205	9	Reissue claims in excess of 20 and over original patent																																																																																																																																															
Subtotal (2) \$54.00																																																																																																																																																			

Signature  Reg. No. 26,047

Date 10/16/03 Deposit Account No. 11-0982